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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

Sheet

(Use as many sheets as necessary)

Application Number	10/820,144
Filing Date	April 8, 2004
First Named Inventor	CHANG, Esther H.
Art Unit	1632
Examiner Name	Shin-Lin Chen
Attorney Docket Number	2474.0070003/BJD/JKM

Complete if Known

			U.S. PATENT DO	OCUMENTS .		
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
mittais	initials No.	Number-Kind Code ^{2 (If Known)}	inin-DD-1111	Applicant of Cited Document	or Relevant Figures Appear	
4M	USI	5,108,921	04/28/1992	Low et al.	·	
	US2	5,139,941	08/18/1992	Muzyczka et al.		
	US3	5,288,641	02/22/1994	Roizman		
	US4	5,378,457	01/03/1995	Paoletti et al.		
	US5	5,416,016	05/16/1995	Low et al.		
1	US6	5,521,291	05/28/1996	Curiel et al.		
1	US7	5,547,932	08/20/1996	Curiel et al.		
	US8	5,635,382	06/03/1997	Low et al.		
	US9	5,762,938	06/09/1998	Paoletti et al.		
41	US10	5,833,975	11/10/1998	Paoletti et al.		
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Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ¹ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
4re	FP1	WO 92/06180	04/16/1992	Univ. of Connecticut		
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0500N				Application Number	10/820,144	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	April 8, 2004	
				First Named Inventor	CHANG, Esther H.	
STATEMENT BY APPLICANT				Art Unit	1632	
(Use as many sheets as necessary)		Examiner Name	Shin-Lin Chen			
Sheet	11	of	8	Attorney Docket Number	2474.0070003/BJD/JKM	

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Т			
fr	Alberts. B., et al., "The Receptors for Most Growth Factors are Transmembrane Tyrosine-Specific Protein Kinases." Chapter 15: Cell Signaling in Molecular Biology of the Cell, Robertson, M., and Adams, R., eds., Grandland Publishing, New York, NY. P. 760 (1994)					
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	NPL6	Baselga, J. and Mendelsohn, J., "Receptor Blockade with Monoclonal Antibodies as Anti-Cancer Therapy," <i>Pharmac. Ther.</i> 64:127-154, Elsevier Science Ltd. (1994)				
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	NPL9	Brachman, D.G., "Molecular Biology of Head and Neck Cancer," Semin. Oncol. 21:320-329, W.B. Saunders Company (1994)				
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SECOND SUDDI EMENTAL					Application Number	10/820,144
SECOND SUPPLEMENTAL					Filing Date	April 8, 2004
INFORMATION DISCLOSURE				First Named Inventor	CHANG, Esther H.	
STATEMENT BY APPLICANT		Art Unit	1632			
	(Use as many sheets as necessary)		Examiner Name	Shin-Lin Chen		
Sheet		2	of	8	Attorney Docket Number	2474.0070003/ВЈД/ЈКМ
NPL11 Cheng, P-W., "Receptor Ligand-Facilated Gene Transfer: Enhancement of Liposome-Mediated Gene Transfer and Expression by Transferrin," Hum. Gene Ther. 7:275-282, Mary Ann Liebert, Inc. (1996)						

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SECOND SUPPLEMENTAL				Filing Date	April 8, 2004
INFORMATION DISCLOSURE				First Named Inventor	CHANG, Esther H.
	STATEMENT BY APPLICANT			Art Unit	1632
(Use as many sheets as necessary)		Examiner Name	Shin-Lin Chen		
Sheet	3	of	8	Attorney Docket Number	2474.0070003/BJD/JKM

•		Non Patent Literature Documents	
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T²
W NPL12		Clayman, G.L., et al., "In Vivo Molecular Therapy with p53 Adenovirus for Microscopic Residual Head and Neck Squamous Carcinoma," Cancer Res. 55:1-6, American Association for Cancer Research (1995)	
	NPL13	Cotten, M., et al., "High-efficiency receptor-mediated delivery of small and large 48 kilobase gene constructs using the endosome-disruption activity of defective or chemically inactivated adenovirus particles," <i>Proc. Natl. Acad. Sci. USA</i> 89:6094-6098, The National Academy of Sciences (1992)	
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	NPL19	Farhood, H., et al., "Cationic liposomes for direct gene transfer in therapy of cancer and other diseases," Ann. N.Y. Acad. Sci. 716-23-34, New York Academy of Sciences (1994)	
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SECON	D CUDD		TAL	Application Number	10/820,144	
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE				Filing Date	April 8, 2004	
				First Named Inventor	CHANG, Esther H.	
STATE	STATEMENT BY APPLICANT			Art Unit	1632	
(Use as many sheets as necessary)		Examiner Name	Shin-Lin Chen			
Sheet	4	of	8	Attorney Docket Number	2474.0070003/BJD/JKM	

		Non Patent Literature Documents	
		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
gre	NPL21	Gottschalk, S., et al., "Folate receptor mediated DNA delivery into tumor cells: potosomal disruption results in enhanced gene expression," Gene Ther. 1:185-191, Nature Publishing Group (1994)	<u> </u>
	NPL22	Goud, B., et al., "Antibody-Mediated Binding of a Murine Ecotropic Moloney Retroviral Vector to Human Cells Allows Internalization But Not the Establishment of the Proviral State," Virol. 163:251-254, Academic Press, Inc. (1988)	
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OF COMP CURPI FMENTAL				Application Number	10/820,144		
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				First Named Inventor	CHANG, Esther H.		
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Examiner Cite Initials* No.1			Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T ²
4	W NPL30		Kim, D., et al., "ONYX-015: Clinical data are encouraging," Nat. Med. 4:1341-1342, Nature America Inc. (1998)	
		NPL31	Kuerbitz, S.J., et al., "Wild-type p53 is a cell cycle checkpoint determinant following irradiation," Proc. Natl. Acad. Sci. USA 89: 7491-7495, The National Academy of Sciences (1992)	
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SECOND SUPPLEMENTAL				Filing Date	April 8, 2004		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	CHANG, Esther H.		
				Art Unit	1632		
			s necessary)	Examiner Name	Shin-Lin Chen		
Sheet	6	of	8	Attorney Docket Number	2474.0070003/BJD/JKM		

		Non Patent Literature Documents	-
Examiner Initials* Cite No.1 WW NPL39		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T2
		Miyamoto, T., et al., "Transferrin receptor in oral tumors," Intl. J. Oral Maxillofac. Surg. 23:430-433, Munksgaard (1994)	
	NPL40	McIlwarth, A.J., et al., "Cell Cycle Arrests and Radiosenstivity of Human Tumor Cell Lines: Dependence on Wild-Type p53 for Radiosensitivity," Cancer Res. 54:3718-3722, American Association for Cancer Research (1994)	
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	NPL43	Prillo, K.F., "p53 mediated sensitization of squamous cell carcinoma of the head and neck to radiotherapy," <i>Oncogene 14</i> :1735-1746, Stockton Press (1997)	
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	NPL45	Roux, P., et al., "A versatile and potentially general approach to the targeting of specific cell types by retroviruses: Application to the infection of human cells by means of major histocompatibility complex class I and class II antigens by mouse ecotropic murine leukemia virus-derived viruses," Proc. Natl. Acad. Sci. USA 86:9079-9083, The National Academy of Sciences (1989)	
GN	NPL46	Schwarzenberger, P., et al., "Receptor-Targeted Recombinant Adenovirus Conglomerates: a Novel Molecular Conjugate Vector with Improved Expression Characteristics," J. Virol 71:8563-8571, American Society for Microbiology (1997)	

Examiner Signature	SMM	Date Considered	12-12-07
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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Filing Date	April 8, 2004		
				First Named Inventor	CHANG, Esther H.		
				Art Unit	1632		
			s necessary)	Examiner Name	Shin-Lin Chen		
Sheet	7	of	8	Attorney Docket Number	2474.0070003/BJD/JKM		

		Non Patent Literature Documents	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T²
w	NPL47	Seachrist, L., "Successful Gene Therapy Has Researchers Looking for the Bystander Effect," J. Natl. Cancer Inst. 86:82-83, Oxford University Press (1994)	
	NPL48	Selivanova, G., et al., "Mutant p53: The loaded gun," Curr Opin Investig Drugs 2:1136-1141, PharmaPress Ltd (2001)	
	NPL49	Seung, L.P., et al., "Genetic Radiotherapy Overcomes Tumor Resistance to Cytotoxic Agents," Cancer Res. 55:5561-5565, American Association for Cancer Research (1995)	
	NPL50	Shin, D.M., et al., "p53 Expression: Predicting Recurrence and Second Primary Tumors in Head and Neck Squamous Cell Carcinoma," J. Natl. Cancer Inst. 88:519-529, Oxford University Press (1996)	
	NPL51	Singh, M. "Transferring as a Targeting Ligand for Liposome and Anticancer Drugs," Curr. Pharm. Des. 5:443-451, Bentham Science Publishers B.V. (1999)	
	NPL52	Snitkovsky, S. and Young, A.T.J., et al., "Cell-specific viral targeting mediated by a soluble retroviral receptor-ligand fusion protein," <i>Proc. Natl. Acad. Sci. USA</i> 95:7063-7068, The National Academy of Sciences (1998)	
	NPL53	Srivastava, S., et al., "Germ-line transmission of a mutated p53 gene in a cancer- prone family with Li-Fraumeni syndrome," <i>Nature 348:747-749</i> , Nature Publishing Company (1997)	
	NPL54	Thorstensen, K. and Romslo, I., "The transferrin receptor: its diagnostic value and its potential as therapeutic target," Scand J. Clin. Lab. Investig. Suppl. 215:113-120, Universitetsforlaget (1993)	
	NPL55	Wagner, E., et al., "Coupling of adenovirus to transferrin-polylysine/DNA complexes greatly enhances receptor-mediated gene delivery and expression of transfected genes," <i>Proc. Natl. Acad. Sci USA 89:</i> 6099-6103, The National Academy of Sciences (1992)	
4u	NPL56	Walker J.R., et al., "Local and Systemic Therapy of Human Prostate Adenocarcinoma with the Conditionally Replicating Herpes Simplex Virus Vector G207," Hum. Gene Ther. 10:2237-2243, Mary Ann Liebert, Inc. (1999)	

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^{*}EXAMINER: Initial If reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				Art Unit	1632	
			s necessary)	Examiner Name	Shin-Lin Chen	
Sheet	8	of	8	Attorney Docket Number	2474.0070003/BJD/JKM	

Examiner	MON PATENT LITERATURE DOCUMENTS miner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate		
Initials No. 1		the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number publisher, city and/or country where published	
4u	NPL57	Weichselbaum, R.R., et al., "Radioresistant Tumor Cells are Present in Head and Neck Carcinomas That Recur After Radiotherapy," Int. J. Radiat.Oncol. Biol. Phys. 15:575-579, Pregamon Press (1988)	
	NPL58	Weivel, N.A. and Wilson, J.M., "Methods of Gene Delivery," Hematol. Oncol. Clin. North Am. 12:483-501, W.B. Saunders Company (1998)	
	NPL59	Xu, L., et al., "Systemic p53 gene therapy in combination with radiation results in human tumor regression," <i>Tumor Targeting 4</i> :92-104, Stockton Press (1999)	
	NPL60	Yang, C., et al., "Adenovirus-mediated Wild-Type p53 Expression Induces Apoptosis and Suppresses Tumorigenesis of Prostatic Tumor Cells," Cancer Res. 55:4210-4213, American Association for Cancer Research (1995)	
	NPL61	Zeimet, A.G., et al., "New Insights into p53 Regulation and Gene Therapy for Cancer," Biochem. Pharm. 60:1153-1163, Elsevier Science Inc. (June 2000)	
gu	NPL62	O'Sullivan, M.J., et al., "Comparison of Two Methods of Preparing Enzyme- Antibody Conjugates: Application of these Conjugates for Enzyme Immunoassay," Anal. Biochem. 100:100-108, (1979)	
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